



cket No.: 42390P8723

2664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RYSZARD W. DYRGA, ET AL.

Application No.: 09/608,773

Filed: June 30, 2000

For: **RESILIENT CHASSIS-BASED  
NETWORK SWITCHING**

Art Group: 2731

Examiner: Not Yet Assigned

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**Technology Center 2600**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated April 26, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: July 23, 2002



\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

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Los Angeles, California 90025  
(503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

July 23, 2002

  
\_\_\_\_\_  
Mary E. Warinner

07-23-02

\_\_\_\_\_  
Date

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

JUL 29 2002

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number 09/608,773  
Filing Date June 30, 2000  
First Named Inventor Ryszard W. Dyrka  
Examiner Name Not Yet Assigned  
Group/Art Unit 2731  
Attorney Docket No. 42390P8723

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## METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     |          |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$)     |

### 2. EXTRA CLAIM FEES

Total Claims  =  X  =   
Independent Claims  =  X  =   
Multiple Dependent  =  =

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |          |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |          |
| 104          | 280      | 204          | 140      | Multiple Dependent claim, if not paid                     |          |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |          |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |          |              |          |   | (\$)     |

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity                       |          | Small Entity |          | Fee Description  | Fee Paid |
|------------------------------------|----------|--------------|----------|--|----------|
| Fee Code                           | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105                                | 130      | 205          | 65       | Surcharge - late filing fee or oath  |          |
| 127                                | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139                                | 130      | 139          | 130      | Non-English specification  |          |
| 147                                | 2,520    | 147          | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                                | 920 *    | 112          | 920 *    | Requesting publication of SIR prior to Examiner action                     |          |
| 113                                | 1,840 *  | 113          | 1,840 *  | Requesting publication of SIR after Examiner action                        |          |
| 115                                | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116                                | 400      | 216          | 200      | Extension for reply within second month                                    |          |
| 117                                | 920      | 217          | 460      | Extension for reply within third month                                     |          |
| 118                                | 1,440    | 218          | 720      | Extension for reply within fourth month                                    |          |
| 128                                | 1,960    | 228          | 980      | Extension for reply within fifth month                                     |          |
| 119                                | 320      | 219          | 160      | Notice of Appeal   |          |
| 120                                | 320      | 220          | 160      | Filing a brief in support of an appeal                                     |          |
| 121                                | 280      | 221          | 140      | Request for oral hearing   |          |
| 138                                | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                                | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141                                | 1,280    | 241          | 640      | Petition to revive - unintentional   |          |
| 142                                | 1,280    | 242          | 640      | Utility issue fee (or reissue)   |          |
| 143                                | 460      | 243          | 230      | Design issue fee   |          |
| 144                                | 620      | 244          | 310      | Plant issue fee  |          |
| 122                                | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123                                | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126                                | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                                | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                                | 740      | 246          | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                                | 740      | 249          | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                                | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                                | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify)                |          |              |          |  |          |
| * Reduced by Basic Filing Fee Paid |          |              |          |  |          |
| SUBTOTAL (3)                       |          |              |          |  | (\$)     |

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## SUBMITTED BY

Name (Print/Type) Gregory D. Caldwell

Registration No. (Attorney/Agent)

39,926

## Complete (if applicable)

Telephone (503) 684-6200

Signature

Date

07/23/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.